

"Express Mail" mailing label number EI669269575US  
Date of Deposit: January 10, 2002

# 10

Our Case No. 10716/57

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Pennica et al.

Serial No. 09/816,653

Filing Date: March 23, 2001

For NOVEL HUMAN STRA6-LIKE  
PROTEIN AND NUCLEIC ACIDS  
ENCODING THE SAME

Examiner To be assigned

Group Art Unit No. 1641

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Commissioner for Patents  
Washington, D.C. 20231

Attention: Application Processing Division  
Customer Correction Branch

Sir:

In response to the Response to Request for Corrected Filing Receipt of December 7, 2001 (copy enclosed), Applicant requests the issuance of a corrected filing receipt (copy enclosed) for the above-referenced patent application, and in support of this request respectfully states:

The filing date needs to be corrected from March 26, 2001 to March 23, 2001. While we have received various notices that reflect the correct filing date, we have not received a corrected filing receipt reflecting this correction.

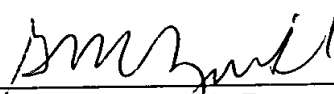
Please delete the attorney docket number "10716-57/CURA-233/Genente" and insert in lieu thereof

--10716-57/CURA233/GN1885R1--.

**RECEIVED**  
JAN 29 2002  
TECH CENTER 1600/2900

The Commissioner is hereby authorized to charge any fees required to  
Deposit Account No. 23-1925. A duplicate copy of this sheet is  
enclosed.

Respectfully submitted,



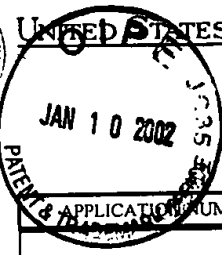
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Gregory M. Zinkl, Ph.D.  
Registration No. 48,492  
Agent for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, DC 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/816,653	03/23/2001	Diane Pennica	10716-57/CURA-233/Genente

Paul E. Rauch, Ph.D.  
BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610

Date Mailed: 12/07/01

RECEIVED  
JAN 29 2002  
TECH CENTER 1600/2900

## RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

## Claims, Fees, Inventors and Continuity

In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

- ☐ The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- ☐ The filing fee is correct. It may include additional claims fees and/or the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date.
- ☐ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined).
- ☐ The inventor's residence allows for up to 40 characters (letters and spaces combined).
- ☐ The inventor's residence will only include the city and state for U.S. residences or city and country for residences outside the U.S. (See MPEP 605.02).
- ☒ The docket number allows a maximum of 25 characters.
- ☐ The priority or continuity will not be entered because it was not filed during the required time period. Applicant may wish to consider filing a petition to accept an unintentionally delayed claim for priority. See 37 CFR 1.55 or 1.78.

☐ Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without the applicant supplying the relationship (continuation, divisional, or continuation-in-part) in an amendment or Application Data Sheet.

☐ Foreign priority will appear on the Filing Receipt in the following order:  
**Country, Application number, Filing date.**

☐ The person signing on behalf of the deceased inventor is reflected on the Filing Receipt as the legal representative.

☐ The filing date of a parent application cannot be changed by this request. A petition to correct the filing date in the parent application is required.



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Customer Service Center  
Office of Initial Patent Examination  
(703) 308-1202



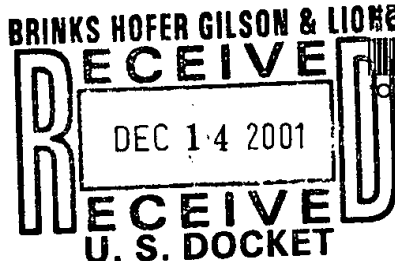
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 UNITED STATES PATENT AND TRADEMARK OFFICE

APR 08 2002

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20503  
 WWW.USPTO.GOV

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/816,653	03/23/2001	Diane Pennica	10716-52 CURA-233/Genentec

Paul E. Rauch, Ph.D.  
 BRINKS HOFER GILSON & LIONE  
 P. O. Box 10395  
 Chicago, IL 60610



CONFIRMATION NO. 8857



Date Mailed: 12/07/2001

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

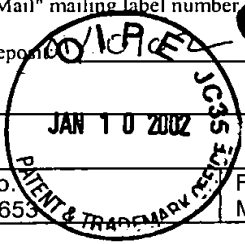
*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Date of Deposit

Serial No. 09/816,653		Filing Date March 23, 2001	Examiner To be Assigned	Case No. 10716/57
Inventor Pennica et al.		Group Art Unit 1641		
Title of Invention NOVEL HUMAN STRA6-LIKE PROTEIN AND NUCLEIC ACIDS ENCODING THE SAME				



Receipt  
for  
**RECEIVED**  
JAN 29 2002  
TECH CENTER 1600/2900

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal Letter (in Duplicate); Request for Correction of Filing Receipt; USPTO Response to Request for Corrected Filing Receipt; Sequence Listing Statement; Response to Notice to Comply With Requirements for Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosure; Diskette containing Sequence Listing Statement; and return-receipt postcard.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

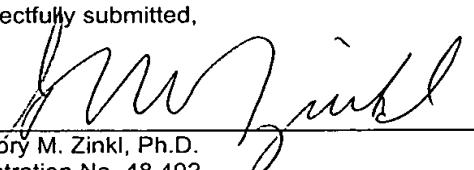
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity	
Rate	Add'l Fee
x \$9=	
x 39=	
+\$130 =	
Total add'l fee	\$

Other Than Small Entity	
Rate	Add'l Fee
x \$18=	
x \$78=	
+\$260=	
total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
 Gregory M. Zinkl, Ph.D.  
 Registration No. 48,492  
 Agent for Applicants

BRINKS HOFER GILSON & LIONE  
 P.O. BOX 10395  
 CHICAGO, ILLINOIS 60610 - (312) 321-4200



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 6857

<b>SERIAL NUMBER</b> 09/816,653	<b>FILING DATE</b> 03/23/2001 <b>RULE</b>	<b>CLASS</b> 424	<b>GROUP ART UNIT</b> 1642	<b>ATTORNEY DOCKET NO.</b> 10716- 57/CURA233/GN1885R1	
<b>APPLICANTS</b> Diane Pennica, Burlingame, CA; Luca Rastelli, Guilford, CT;					
<b>** CONTINUING DATA *****</b> THIS APPLN CLAIMS BENEFIT OF 60/191,532 03/23/2000 <i>ordered 6/27/02</i>					
<b>** FOREIGN APPLICATIONS *****</b> UNITED KINGDOM 0007333.8 03/28/2000					
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 05/31/2001</b>					
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature _____ Initials _____		<b>STATE OR COUNTRY</b> CA	<b>SHEETS DRAWING</b> 1	<b>TOTAL CLAIMS</b> 35	<b>INDEPENDENT CLAIMS</b> 10
<b>ADDRESS</b> Paul E. Rauch, Ph.D. BRINKS HOFER GILSON & LIONE P. O. Box 10395 Chicago ,IL 60610					
<b>TITLE</b> Novel human STRA6-like protein and nucleic acids encoding the same					
<b>FILING FEE RECEIVED</b> 1670	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:			<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	



# UNITED STATES PATENT AND TRADEMARK OFFICE

## FILE COPY

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/816,653	03/23/2001	Diane Pennica	10716- 57/CURA233/GN188RI

CONFIRMATION NO. 6857



\*OC000000007369604\*

Paul E. Rauch, Ph.D.  
BRINKS HOFER GILSON & LIONE  
P. O. Box 10395  
Chicago, IL 60610

Date Mailed: 03/28/2002

## RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

### Application Filing Date

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

- ☐ The correct application number appears on the Filing Receipt. Your postcard included an incorrect application number. Please update your records to reflect the application number on the enclosed Filing Receipt. See MPEP 503.
- ☒ If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, a request for a corrected Filing Receipt should be submitted along with a copy of the Express Mail label showing the "date in."
- ☐ A copy of the Express Mail label was not enclosed with your request. Please resubmit.
- ☐ The date on the Express Mail label is the date given on the Filing Receipt.
- ☐ The filing date accorded to the application is the date the application met the filing date requirements of 37 CFR 1.53(b) or (c).
- ☐ Filing date of a parent application cannot be changed by this request. A request for corrected Filing Receipt must be directed to such parent application.
- ☐ Applications submitted under 37 CFR 1.8 are accorded a filing date as of the date the application is received in the U.S. Patent and Trademark Office.
- ☐ The filing date on your return postcard is the same as the date on your Filing Receipt.

- ☐ A petition under 37 CFR 1.10 or 1.53 is needed to correct the filing date. The petition should be directed to the attention of the Office of Petitions.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

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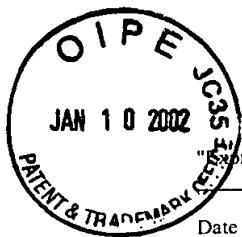
*A copy of this notice **MUST** be returned with the reply.*

Worlene Resso

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY



"Express Mail" mailing label number

EL669269575US

Date of Deposit: January 10, 2002

Case No. 10716/57

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Pennica et al.

Serial No.: 09/816,653

Filed: March 23, 2001

For: NOVEL HUMAN STRA6-  
LIKE PROTEIN AND  
NUCLEIC ACIDS  
ENCODING THE SAME

Attention: Manager,  
Application Branch

**RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR  
PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE  
AND/OR AMINO ACID SEQUENCE DISCLOSURES**

Commissioner for Patents  
Washington, D.C. 20231

Attn.: Box Missing Parts

Dear Sir:

In accordance with the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures dated December 7, 2001, a copy of which is attached, enclosed herewith for filing are the following documents:

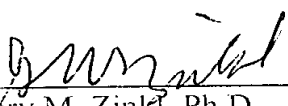
A corrected sequence listing in both computer-readable format and hard copy, and

A statement according to 37 CFR 1.821.

The errors in the sequence listing were made without deceptive intent.

Respectfully submitted,

Dated: January 10, 2002

  
\_\_\_\_\_  
Gregory M. Zinkl, Ph.D.  
Registration No. 48,492  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
(312)321-4200

rev. Oct.-01  
Document2